

**RECEIVED
CENTRAL FAX CENTER**

9194199354

IPTL

05:52:43 p.m.

11-28-2006

6 /8

NOV 28 2006

Sheet 1 of 1

FORM PTO-1449 US Dept. of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		ATTORNEY DOCKET NO.		SERIAL NO.			
		4197-114		10/088,751			
		APPLICANT					
		Ralf-Uwe Bauer, et al.					
		FILING DATE		GROUP			
		March 20, 2002		Not Yet Assigned			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A1	EP 0 047 929	04/09/1981	Germany	01		X
	A2	DE 3034685 A1	08/04/1982	Germany	08		X
	A3	DE 43 08 524 C1	22/09/1994	Germany	01		X
	A4	EP 0 727 439 A1	21/08/1996	Europe	08		X
	A5	WO 97/23666	03/07/1997	WIPO (Austria)	01		X
	A6	WO 97/31970	04/09/1997	WIPO (Germany)	08		X
	A7	WO 97/47790	18/12/1997	WIPO (Korea)	01		X
	A8	WO 98/05702	12/02/1998	WIPO (Austria)	08		X
	A9	WO 98/58103	23/12/1998	WIPO (Austria)	01		X
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)							
	A10	XP-002161407 p.168-169					
	A11	H. Firgo, et al., Kritische Fragen Zur Zukunft Der NMMO-Technologie, Lenzinger Berichte p. 81-89 (1994)					
	A12	1996 Annual Review, Domain Industries, Inc., p.1-4 & 20-21					
	A13	D. Klemm, et al., Comprehensive Cellulose Chemistry, Fundamentals and Analytical Methods, Vol. 1, Wiley-VCH, p. 12-13 (1998)					
	A14	Dr. Herbert Sixta, et al., New Technology for the Production of High-Purity Dissolving Pulps, Wissenschaft + Technik, p. 220-234 (2001)					
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

NOV 28 2006

- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. _____ in the amount of \$_____ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. _____ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Respectfully submitted,



Marianne Fuierer
Reg. No. 39,983
Attorney for Applicant

INTELLECTUAL PROPERTY/
TECHNOLOGY LAW
P.O. Box 14329
Research Triangle Park, NC 27709
Telephone: (919) 419-9350
Fax: (919) 419-9354
Attorney Ref: 4197-114

4197-114

APPENDIX B

✓ MM 7 2002

This confirms receipt of:

Information Disclosure Statement and Form PTO-1449

In re U.S. Patent of: Ralf-Uwe Bauer, et al.
Patent Appl. No.: 10/088,751
Filing Date: March 20, 2002
Title: METHOD FOR PRODUCING CELLULOSE SHAPED-BODIES
Date Mailed: May 21, 2002
Express Mail Label: None (First Class Mail)
Attorney Ref.: 4197-114



JC04 Rec'd PCT/PTO 24 MAY 2002